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TO: Examiner E . Johnson  
Group 3743

FROM: Guy Tucker

COMPANY: U.S. PTO

PHONE NUMBER: (650) 620-5501

FAX NUMBER: 703-872-9306

FAX NUMBER: (650) 631-3125

PHONE NUMBER:

DATE: February 25, 2004

RE: U.S. Serial No. 09/996,020

NO. OF PAGES: 20  
(INCLUDING COVER)

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NOTES/COMMENTS:

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PTO/SB/21 (08-03)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/996,020
Filing Date	November 27, 2001
First Named Inventor	Mazen Hanna et al.
Art Unit	1765
Examiner Name	E. Johnson
Total Number of Pages in This Submission	1
	Attorney Docket Number

0108.11

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s).	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		<b>Remarks</b>
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Gary V. Tucker; Nektar Therapeutics
Signature	
Date	25 Feb 04

**CERTIFICATE OF TRANSMISSION/MAILING**

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Typed or printed name	Kathy Honnert
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	Date

02/25/2004

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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :	Hanna et al.	) Group Art Unit 1754
Appl. No. :	09/996,020	)
Filed :	November 27, 2001	)
For :	Method and Apparatus for the Formation of Particles	)
Examiner :	Johnson, Edward M.	)

I hereby certify that this correspondence and all  
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transmitted to the Assistant Commissioner for  
Patents Group Art Unit 1754, 703-872-9306  
2/26/04  
*Kathy Horner*  
Kathy Horner  
(Date)

AMENDMENT AND RESPONSE

Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This is in response to the Notice of Non-Compliant Amendment (37 CFR 1.121) in the above-referenced case dated January 26, 2004. Reconsideration of the rejections in view of the following amendments and remarks is respectfully requested.